



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Masamichi YANO, et al.**

Serial Number: **10/632,804**

**Application Division**

Filed: **August 4, 2003**

**Customer No.:38834**

For: **EXPANSION VALVE**

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: February 25, 2004

Sir:


Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the Official Filing Receipt, we noted an error in the **number of Independent Claims**. The **number of Independent Claims** should read --3--. A copy of **Transmittal Letter** is enclosed which indicates the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 50-2866.

Respectfully submitted,

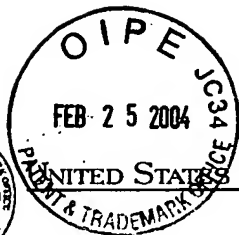
WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

  
Stephen G. Adrian  
Attorney for Applicants  
Reg. No. 32,878

Atty. Docket No. **990076C**  
Suite 700  
1250 Connecticut Avenue  
N.W. Washington, D.C.20036  
(202)822-1100

SGA/my

Enclosures: Official Filing Receipt; Copy of Transmittal Letter



UNITED STATES PATENT AND TRADEMARK OFFICE

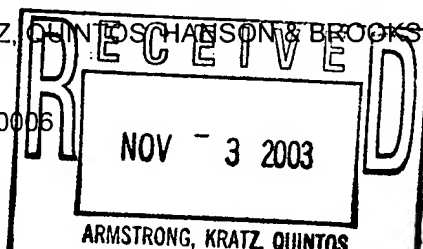
UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/632,804	08/04/2003	3744	750	990076C	17	4	X --3--

CONFIRMATION NO. 5550

23850

ARMSTRONG, KRATZ, QUINTOS, HANSON & BROOKS LLP  
 1725 K STREET, NW  
 SUITE 1000  
 WASHINGTON, DC 20006



## FILING RECEIPT



\*OC000000011155299\*

Date Mailed: 10/31/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Masamichi Yano, Tokyo, JAPAN;  
 Kazuhiko Watanabe, Tokyo, JAPAN;

## Assignment For Published Patent Application

FUJIKOKI CORPORATION, Tokyo, JAPAN;

## Domestic Priority data as claimed by applicant

This application is a DIV of 09/861,516 05/22/2001 PAT 6,626,370  
 which is a DIV of 09/246,157 02/08/1999 PAT 6,241,157

## Foreign Applications

JAPAN H10-068352 03/18/1998  
 JAPAN H10-231452 08/18/1998

If Required, Foreign Filing License Granted: 10/31/2003

Projected Publication Date: 02/12/2004

Non-Publication Request: No

Early Publication Request: No

**Title**

Expansion valve

**Preliminary Class**

236

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new non-provisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

**990076C**

Total Pages

First Named Inventor or Application Identifier

**Masamichi YANO, and Kazuhiko WATANABE**

Express Mail Label No.

Check Box, if applicable [ ] Duplicate

APPLICATION ELEMENTS For: **EXPANSION VALVE**

ADDRESS TO:

Commissioner for Patents  
MAIL STOP BOX PATENT APPLICATIONS  
Alexandria, VA. 22313-1450

1. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)

2. ☒ Specification Total Pages **[22]**

3. ☒ Drawing(s) (35 USC 113) Total Sheets **[17]**

4. ☒ Oath or Declaration Total Pages **[2]**

a. [ ] Newly executed (original)

b. ☒ Copy from prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed).

i. [ ] Deletion of Inventor(s)

Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b)

5. ☒ Incorporation by reference (useable if box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is  
supplied under Box 4b, is considered as being part of the disclosure of the accompanying  
application and is hereby incorporated by reference therein.

6. [ ] Microfiche Computer Program (Appendix)

7. [ ] Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. [ ] Computer Readable Copy

b. [ ] Paper Copy (identical to computer copy)

c. [ ] Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment to FUJIKOKI CORPORATION, Tokyo, Japan in the prior Application Serial No. 09/246,157,  
filed on February 8, 1999 has been recorded at Reel 9767, Frame 0269 on February 8, 1999.

**Please publish the assignee data with the application.**

9. [ ] 37 CFR 3.73(b) Statement (when there is an assignee) [ ] Power of Attorney

**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

**990076C**

First Named Inventor or Application Identifier

**Masamichi YANO, and Kazuhiko WATANABE**

**PAGE 2 OF 3**

10. ☐ English translation Document (if applicable)
11. ☒ Information Disclosure Statement ☒ Copies of IDS Citations (18 References)
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Status is claimed.
15. ☒ Claim for Convention Priority ☐ Certified copy of Priority Document
- a. Priority of **Japanese** application nos. **10-0688352** and **10-231452** filed on **March 18, 1998** and **August 18, 1998** respectively are claimed under 35 U.S.C. 119. The certified copies have been filed in prior parent application Serial No. **09/861,516** and grandparent application Serial No. **09/246,157**, now U.S. Patent No. **6,241,157**.
16. ☐ Other \_\_\_\_\_
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. **09/861,516**

FEE TRANSMITTAL The filing fee is calculated below	Number Filed	Number Extra	Rate	Basic Fee
Total Claims	4 - 20		x \$18.00	
Independent Claims	3 - 3		x \$84.00	
Multiple Dependent Claims			\$280.00	
Filing Fee				750.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	
TOTAL				750.00

**UTILITY PATENT  
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

990076C

First Named Inventor or Application Identifier

Masamichi YANO, and Kazuhiko WATANABE

PAGE 3 OF 3

[XX] A check in the amount of \$ 750.00 is enclosed to cover the filing fee of \$ 750.00.

[ ] Please charge our Deposit Account No. **01-2340** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

[XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**.

**18. CORRESPONDENCE ADDRESS:**

Armstrong, Westerman & Hattori, LLP  
Suite 1000, 1725 K Street, N.W.  
Washington, D. C. 20006  
Tel: (202) 659-2930



23850

PATENT TRADEMARK OFFICE

Typed or Printed Name **John F. Carney** Reg. No. **20,276**

Signature

Date: **August 4, 2003**